

Staff Summary Report



To: Mayor & City Council
Through: City Manager

Agenda Item Number 44
Meeting Date 10/28/99

SUBJECT: RESOLUTION

PREPARED BY: J.R. POOLER, ENGINEERING MANAGER, ENGINEERING SERVICES (350-8412)

REVIEWED BY: HOWARD C. HARGIS, CITY ENGINEER (350-8250)

BRIEF: Request to adopt a resolution authorizing execution of an Intergovernmental Agreement with ADOT for the construction of railroad crossing upgrades at University Drive, Broadway Road and College Avenue.

COMMENTS: **ARIZONA DEPARTMENT OF TRANSPORTATION (0107-01) RESOLUTION NO. 99.61** Resolution authorizing execution of an agreement with the State of Arizona, Department of Transportation, pertaining to railroad crossing upgrades of the railroad crossings at University Drive, Broadway Road, and College Avenue.

Document Name: (991028PWDR08) Supporting Documents: Yes

SUMMARY: The Arizona Department of Transportation has prepared an agreement between the Arizona Department of Transportation and the City of Tempe setting forth the responsibilities of the State to design and construct railroad crossing upgrades to the railroad crossings at University Drive, Broadway Road and College Avenue. The cost estimate for the project is as follows:

Federal Aid Funds	\$28,601.00
AZ Corporation Funds	\$ 1,729.00
City of Tempe Funds	\$ 0.00
Total Project Costs	\$30,330.00

The agreement has been reviewed by staff and found to be in order.

FISCAL NOTE:

The agreement specifies that ADOT will submit the project to FHWA for funding approval. After approval, ADOT will authorize the railroad to proceed with the upgrades. No City funding is required.

RECOMMENDATION:

That the City Council adopt Resolution No. 99.61 authorizing the Mayor to execute the necessary documents for the agreement with the State of Arizona, Department of Transportation, pertaining to the upgrade to the railroad crossings at University Drive, Broadway Road and College Avenue.

Approved by: Judith Greenberg, Public Works Director

RESOLUTION NO. 99.61

A RESOLUTION BY THE CITY COUNCIL OF THE CITY OF
TEMPE, ARIZONA, AUTHORIZING THE EXECUTION OF
AN AGREEMENT WITH THE STATE OF ARIZONA,
DEPARTMENT OF TRANSPORTATION.

WHEREAS, it is to the mutual BENEFIT of the State of Arizona, Department of Transportation and the City of Tempe to enter into an agreement specifying their respective construction and maintenance responsibilities concerning certain railroad crossing upgrades at University Drive, Broadway Road and College Avenue, and

WHEREAS, the Arizona Department of Transportation has prepared an agreement setting forth the responsibilities for construction and maintenance.

NOW, THEREFORE, BE IT RESOLVED BY THE CITY COUNCIL OF THE CITY OF TEMPE, ARIZONA, as follows:

That the Mayor of the City of Tempe is hereby authorized to execute an agreement with the State of Arizona, Department of Transportation setting forth the provisions and responsibilities for the construction and maintenance of certain railroad crossing upgrades at University Drive, Broadway Road and College Avenue.

PASSED AND ADOPTED BY THE CITY COUNCIL OF THE CITY OF TEMPE,
ARIZONA this _____ day of _____, 1999.

Mayor

ATTEST:

City Clerk

APPROVED AS TO FORM:

City Attorney

A. G. Contract No. KR99-2133TRN
ADOT ECS File: JPA 99-141
Project: STP-000-6(133)P
TRACS: STP SW VAR SR152 01C
Section: University Drive,
Broadway Rd. & College Avenue
AAR/DOT No. 741-561-J,
741-565-L & 741-583-J

**INTERGOVERNMENTAL AGREEMENT
BETWEEN
THE STATE OF ARIZONA
AND
CITY OF TEMPE**

THIS AGREEMENT is entered into _____, 1999, pursuant to Arizona Revised Statutes, Sections 11-951 through 11-954, as amended, between the STATE OF ARIZONA, acting by and through its DEPARTMENT OF TRANSPORTATION (the "State") and the CITY OF TEMPE acting by and through its MAYOR and CITY COUNCIL (the "City").

I. RECITALS

1. The State is empowered by Arizona Revised Statutes Section 28-401 and 28-334 to enter into this agreement and has by resolution, a copy of which is attached hereto and made a part hereof, resolved to enter into this agreement and has delegated to the undersigned the authority to execute this agreement on behalf of the State.

2. The City is empowered by City Charter, Chapter II, Section 2.i. to enter into this agreement and has by resolution, a copy of which is attached hereto and made a part hereof, resolved to enter into this agreement and has authorized the undersigned to execute this agreement on behalf of the City.

3. The Arizona Corporation Commission is empowered by Arizona Revised Statutes Section 40-337 to participate in the funding of this project, and has authorized funds for this project.

4. Congress has authorized appropriations for the erection of automatic warning signals, automatic gate arms, plank crossings, pavement markings, and other appurtenances.

5. Such project within the boundary of the City has been selected by the City; the field survey of the project has been completed; and the plans, estimates and specifications have been prepared and, as required, submitted to the Federal Highway Administration ("FHWA") for its approval.

6. The only interest of the State in the project is in the acquisition of federal funds for the use and benefit of the City by reason of federal law and regulations under which funds for the project are authorized to be expended.

7. The work embraced in this agreement and the estimated cost are as follows: Upgrade Railroad Crossings (University Drive, Broadway Road & College Avenue).

Preliminary and Construction Engineering	\$ 8,874.00
Upgrade Existing Roundels from 8" diameter to 12" Diameter (by railroad forces)	\$21,456.00
Total Project	=\$30,330.00
Federal Aid Funds @ 94.3% of \$30,330.00	=\$28,601.00
AZ Corp. Comm. Funds @ 5.7% of \$30,330.00	=\$ 1,729.00
City of Tempe Funds	=\$ 0.00

THEREFORE, in consideration of the mutual covenants expressed herein, it is agreed as follows:

II. SCOPE OF WORK

1. The State shall submit a program containing the aforementioned project to FHWA with the recommendation that it be approved for construction.

a. If such project is approved for construction by FHWA and the funds are available for construction of the project, the State with the aid and consent of FHWA will authorize the Railroad Company to proceed with the work covered by the State-Railroad Agreement and will request the maximum federal funds available.

b. Should some unforeseen conditions or circumstances increase the cost of said work required by a change in the extent or scope of the work called for in this agreement, the State shall not be obligated to incur any expenditure in excess of the amount of the City's deposit unless and until so authorized in writing by the City.

2. The City shall acquire the necessary right-of-way and hereby certifies that all necessary rights-of-way have been or will be acquired.

3. Once acquired, the City shall remove from the City right-of-way all obstructions or unauthorized encroachments of whatever nature, either above or below the surface of the roadway, and hereby certifies that all obstructions and encroachments have been or will be removed therefrom.

4. The City shall not permit or allow any encroachments, except those authorized by permit, upon, or private use of, the City right of way. In the event of any unauthorized encroachment or improper use, the City shall take all necessary steps to remove or prevent any such encroachment or use.

5. Upon completion of construction, the City shall provide (exclusive of maintenance by the Railroad Company of its facilities) traffic signals, signs, islands, curbs and markings necessary for the purpose of regulating, warning and guiding traffic.

6. The City shall mark and sign railway-highway grade crossings in accordance with the requirements of the current edition of the Manual on Uniform Traffic Control Devices for Streets and

Highways, within 45 days after the railroad has completed its work; failing that, the State may proceed with the marking and signing thereof, the cost of which shall be borne by the City.

7. By such regulation as it may by ordinance provide, the City shall regulate parking and not permit vehicles to be left on the street in any manner other than at and parallel with the curb and to restrict parking so as to prevent conflicts with moving traffic.

III. MISCELLANEOUS PROVISIONS

1. The State assumes no financial obligation or liability under this agreement, nor for any resulting construction project. The City, in regard to the City's relationship with the State only, assumes full responsibility for the design, plans, specifications, reports, the engineering in connection therewith and the construction of the improvements contemplated, cost over-runs and construction claims. Such assumption of the responsibility by the City for the benefit of the State in no way acts as a waiver by the City for the benefit of the railroad company or any responsibility the railroad company has in the design, plans, specifications, reports, the engineering in connection therewith and the construction of the improvements contemplated, cost overruns and construction claims. It is understood and agreed that the State's participation is confined solely to securing federal aid; that any damages arising from carrying out, in any respect, the terms of this agreement or any modification thereof, shall be solely the liability of the City and that the City hereby agrees to save and hold harmless and indemnify from loss the State; any of its departments, agencies, officers or employees from any and all cost and/or damage incurred by any of the above and from any other damage to any person or property whatsoever, which is caused by any activity, condition, or event arising out of the performance or nonperformance of any provisions of this agreement by the State, any of its departments, agencies, officers and employees, the City, any of its agents, officers and employees, or any of its independent contractors. Costs incurred by the State, any of its departments, agencies, officers or employees shall include in the event of any action, court costs, expenses of litigation and attorneys' fees.

2. The cost of the design, construction and construction engineering work covered by this agreement is to be borne by FHWA, Arizona Corporation Commission and the City, each in the proportion prescribed or as fixed and determined by FHWA as stipulated in this agreement. Therefore, in the event that funds to match federal funds are not made available by the Corporation Commission, the City agrees to furnish and provide the State with City funds in an amount equal to the difference between the total cost of the work provided for in this agreement and the amount of federal aid received.

3. This agreement shall remain in force and effect until completion of the work herein embraced; provided, however, that any provisions in this agreement for maintenance shall be perpetual, unless assumed by another competent governmental entity.

4. This agreement shall become effective upon filing with the Secretary of State.

5. This agreement may be cancelled in accordance with Arizona Revised Statutes Section 38-511.

6. The provisions of Arizona Revised Statutes Section 35-214 are applicable to this contract.

7. In the event of any controversy which may arise out of this agreement, the parties hereto agree to abide by required arbitration as is set forth for public works contracts in Arizona Revised Statutes Section 12-1518.

8. All notices or demands upon any party to this agreement shall be in writing and shall be delivered in person or sent by mail addressed as follows:

Arizona Department of Transportation

Joint Project Administration
205 South 17 Avenue, Mail Drop 616E
Tempe, AZ 85007

City of Tempe
Transportation Engineering Planner
P. O. Box 5002
Tempe, AZ 85280-5002

9. Attached hereto and incorporated herein is the written determination of each party's legal counsel that the parties are authorized under the laws of this state to enter into this agreement and that the agreement is in proper form.

IN WITNESS WHEREOF, the parties have executed this agreement the day and year first above written.

CITY OF TEMPE

STATE OF ARIZONA
Department of Transportation

By _____
NEIL G. GIULIANO
Mayor

By _____
CATHERINE J. HEGEL
Contract Administrator

ATTEST:

By _____
RANDY GROSS
City Clerk

RESOLUTION

BE IT RESOLVED on this 27th day of August 1999, that I, the undersigned MARY E. PETERS, as Director of the Arizona Department of Transportation, have determined that it is in the best interests of the State of Arizona that the Department of Transportation, acting by and through the Intermodal Transportation Division, to enter into an agreement with the City of Tempe, for the purpose of defining responsibilities for the acquisition of federal funds, upgrading the railroad crossing at University Drive, Broadway Road & College Avenue, under Project #STP-000-6(133)P.

Therefore, authorization is hereby granted to draft said agreement which, upon completion, shall be submitted to the Contract Administrator for approval and execution.

A handwritten signature in black ink, appearing to read "D. Allocco", written over a horizontal line.

DAVID R. ALLOCCO, P.E.
Assistant State Engineer
Engineering Technical Group

for MARY E. PETERS, Director

JPA 99-141

APPROVAL OF THE CITY OF TEMPE ATTORNEY

I have reviewed the above referenced proposed intergovernmental agreement, between the DEPARTMENT OF TRANSPORTATION, INTERMODAL TRANSPORTATION DIVISION, and the CITY OF TEMPE and declare this agreement to be in proper form and within the powers and authority granted to the City under the laws of the State of Arizona. No opinion is expressed as to the authority of the State to enter into this agreement.

DATED this _____ day of _____, 1999.

City Attorney